



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION IX

75 Hawthorne Street
San Francisco, CA 94105-3901

Leanne Walker-Grant
Chairperson
Table Mountain Rancheria
23736 Sky Harbour Road
Friant, California 93626

APR 16 2015

The U.S. Environmental Protection Agency Region 9 is pleased to announce the availability of financial assistance as follows:

APPLICATION DUE DATE: MAY 21 2015

TYPE: NEW grant # _____

PROGRAM TITLE: Performance Partnership Grant (PPG)

STATUTORY AUTHORITY: Appropriation Act of 1996 (PL-104-134)

CATALOG OF FEDERAL

DOMESTIC ASSISTANCE NO.: 66.605

(A complete single application and final workplan must be submitted using grants.gov.)

DELEGATION OF AUTHORITY: 1-101 Performance Partnership Grants

FEDERAL FUNDS AVAILABLE and
REQUIRED NON-FEDERAL MATCH:

PROGRAM (Sample)	Federal Amount Available	Required Match* (*Based on Total Project Cost)	TOTAL
CWA-106 (Water Pollution Control)	\$65,000	\$ 3,421	\$68,421 (2)
CWA-319 (Non-point Source) - BASE - COMPETITIVE	\$30,000	\$1,579	\$31,579 (2)
General Assistance Program (GAP) - CORE - SUPPLEMENTAL	\$77,340 9,000	0%	\$86,340 (1)
TOTAL:	\$177,000	\$ 5,000	\$182,000

Please submit the additional information requested as follows:

- (1) Revised work plan components and budget for GAP based on EPA enclosed comments.
- (2) Revised work plan components and budget for CWA 106 and 319 based on enclosed comments

A complete single application with one work plan and budget reflecting the enclosed GAP and Water work plan and budget comments must be submitted by the due date indicated. In completing your application, enter the Catalog of Federal Assistance Number (CFDA) for PPGs – 66.605 – in box #11 on SF-424A application form, not the CFDA for any of the individual grant programs going into the PPG.

Effective **February 17, 2015**, EPA grant applicants must use Grants.gov to submit their applications. The Grants.gov registration process can take up to 30 days to complete. Therefore, it is highly recommended that EPA applicants complete their registration on grants.gov now. Also, your organization's registration in SAM.gov must be active to utilize Grants.gov. If it appears that the grants.gov registration process may prevent you from submitting your application by the due date aforementioned, then please contact support@grants.gov or call 1-800-518-4726 as support is available 24 hours a day and 7 days a week. You should also notify your Project Officer before the application due date to request an extension.

Please review both Attachment 2 on grants.gov instructions and Attachment 3 on Region 9 Guidance Information for Applicants (or go to <http://www.epa.gov/region9/funding/information.html>) for additional resources on Grants.gov, Federal Regulations, and new EPA requirements. We suggest you forward these materials to your Project Manager, Financial Officer and any other personnel in your organization requiring this information.

Since there may have been changes to various EPA assistance regulations, please remember to obtain a copy of the Code of Federal Regulations, Title 40, Parts 1-49. This CFR is updated every July 1 and includes the Chapter I, Subchapter B, which are regulations applicable to your cooperative agreement. The CFR is available through the internet at the following address:
<http://www.epa.gov/region09/funding/before.html>.

Questions regarding the application or administrative/fiscal matters should be referred to Maria Roverso of the EPA Region 9 Grants Management Office, at (415) 972-3573 or roverso.maria@epa.gov. Please contact your Project Officer, Willard Chin at (415) 972-3797 or chin.willard@epa.gov regarding programmatic questions (e.g. development of final PPG workplan).

Sincerely,



Laura M. Ebbert
Manager
Tribal Section

Enclosures

1. Workplan comments
2. Grants.gov Instructions Guide
3. Region 9 Guidance information for Applicants

cc: Samuel Elizondo, Environmental Manager
Howard Kahan, Tribal Water Office
Maria Roverso, Grants Management Office

BUDGET COMMENTS

The following has been approved:

\$73,000 core program
\$ 3,340 for increase for Administrative Assistant Position
\$ <u>1,000</u> for Field Supplies
\$77,340

Supplemental

\$5,000 for clean up
\$ <u>4,000</u> for other budget category
\$9,000

Please include \$9,000 as supplemental funding for your program in a separate workplan and budget. If additional GAP funds become available and your requests are approved, some or all of your supplemental requests may be awarded.

WORK PLAN COMMENTS

General comments

The Tribe has requested supplemental funding of \$5,000 for a dump site clean-up. EPA is reviewing the Tribe's request. If funding is approved, a work plan component should be added to include indicators, commitments, position(s) end dates and outputs and deliverables.

Component#1: Management of Environmental Office

Commitment 1.1 For the outputs and deliverables, please add: "copies of procurement and equipment tracking information, quarterly draws and expenditures report and a copy of self audit.

Commitment#1.2 The work does not described specific activities, but rather goals. Please revise to include what specific tasks will be completed.

Component#2: Updating Joint EPA Tribal Environmental Plan, ETEP

The Tribe will be completing its ETEP in FY 2015. The ETEP will guide the Tribe and EPA on mutual environmental priorities for the next four years. While the Tribe has the option to revise its own Tribal Environmental Plan, EPA does not expect to revise the ETEP unless there are very special circumstances. This component may not be necessary or may be revised to include limited review. Please contact your Project Officer to discuss further.

Work Plan Component#3: Travel and Training

Commitment #3.2 Outputs and Deliverables should be a summary of RTOC sessions attended rather than "submit certification of completion on a quarterly basis".

GAP 2015-2016 Work Plan Comments

Table Mountain Rancheria

Thank you for developing an outcome-oriented work plan. The following observations and requests were written with the intent of strengthening the Tribe's GAP work plan, identifying possible assistance, and ensuring the work plan meets GAP guidelines. These comments pertain to the 2015-2016 work plan that was submitted with your GAP proposal in December 2014. Please ensure that these comments are addressed in your final GAP work plan.

General Definitions and References

1. The following references are useful for understanding GAP in general, for getting an understanding for environmental outcomes, etc.
 - 2013 Guidance on the Award and Management of General Assistance Program Agreements and Appendix I, Guidebook for Building Tribal Environmental Program Capacity
<http://www.epa.gov/region9/funding/pdfs/tribal-gap/r9-gap-guidance.pdf>
 - GAP Act (<http://www.epa.gov/Indian/pdfs/4368b.pdf>)
 - 2015 GAP Grant Notification (<http://www.epa.gov/region09/funding/tribal-gap.html>)
 - EPA Strategic Plan (<http://www2.epa.gov/planandbudget/strategicplan>)
2. Environmental outcomes should be expressed in terms of improvements to public health, the environment, or human behavior. They can also reflect improved tribal capacity to protect public health or the environment. Intermediate outcomes could reflect: a) improved human health or environmental conditions, b) reduced risks to human health or the environment, c) increased tribal capabilities in legal, enforcement, technical, communication, or administrative areas. Long-term outcomes could include attainment of desired environmental conditions (e.g., water quality standards are met) or human health goals, attainment of a desired capacity to plan, develop, implement, manage and sustain tribal environmental programs.
3. Please ensure that each component in your work plan contains one or more capacity indicators that appropriately links to the commitments. Capacity indicators represent the destination of the Tribe's work and therefore the Tribe should express how it will accomplish the tasks necessary to achieve the indicators proposed in its commitments. Moreover, capacity indicators should ideally be placed in the "Measures" section for each component in the work plan. More information on the appropriate use of indicators is found on page 13 of the GAP Guidance. A suite of indicators that the Tribe may consider for inclusion in its work plan is contained in Appendix I of the GAP Guidebook.
4. Please correct any typographic errors in your work plan. Please note that GAP Online does not accept special punctuation or characters including quotation marks, apostrophes, semicolons, or parenthesis.
5. Please ensure that the total work plan Estimated Component Costs add up to the total approved budget amount indicated in the cover letter, and that the total work plan Estimated Work Years adds up to the total number of approved personnel funded under GAP. I have attached a document entitled "Component Cost and Work Years Guidance" that will help you to correctly identify Component Costs and Work Years to comply with this request.

Work Plan Component#5: Environmental Research

Commitment#5.1 should specify what agencies and issues will be discussed as related to GAP program.

Commitment#5.3 outputs and deliverables should include a list of documents reviewed and comments prepared.

Work Plan Component#7: Enforcement Compliance

Please contact your Project Officer to clarify commitment#7.4. The GAP program cannot fund staff to conduct site specific review of economic development projects. The GAP program can support a limited role in coordinating with other Departments to ensure compliance with environmental requirements.

Clean Water Act 106 & 319 2015-2016 Work Plan Comments

BUDGET COMMENTS

106 budget	No comments
319 Budget	There is an incorrect matching dollar amount shown in the budget. The correct amount is \$1,579, which is 5% of the total project costs.

WORK PLAN COMMENTS

106 Work Plan:	No comments
319 Work Plan:	No comments

EPA Region 9 - Grants.gov Instructions Guide

(March 2015)

Applications for Federal assistance must be submitted through Grants.gov.

Register with Grants.gov! In order to submit your application using Grants.gov, your organization must be registered with Grants.gov. Please allow four weeks to complete the registration process. Also, please note that you must have a DUNS number and an active SAM.gov registration before registering with Grants.gov. You can find more information about registering at:

<http://www.grants.gov/web/grants/applicants/organization-registration.html>

To Access and Download Grant Application Package go to:

<http://www.grants.gov/web/grants/applicants/download-application-package.html>

1. Funding Opportunity Number (FON).

- A. Competitive Programs: Refer to the competitive solicitation for the applicable FON. Applicant will enter this into the "Funding Opportunity Number" field to locate the application package.
- B. Non-Competitive Discretionary Programs: Obtain FON from your EPA Point of Contact (POC). Applicant will enter this into the "Funding Opportunity Number" field to locate the application package.
- C. Continuing Environmental Programs (CEPs): The list of available CFDA numbers for CEPs have been divided between two FONs. The two FONs used to access the application packages are **EPA-CEP-01** and **EPA-CEP-02**. Refer to the following Attachment to determine which FON your CFDA is published under. Applicant will enter this into the "Funding Opportunity Number" field to locate the packages.

CFDA Number:	<input type="text"/>	(#
→ Funding Opportunity Number:	<input type="text"/>	(C
Funding Opportunity Competition ID:	<input type="text"/>	(C

2. Download the package associated with CFDA 66.XXX.

- ### 3. Complete the Grant Application Package.
- Attach the forms and information required by your particular program. **Contact your EPA POC if you have questions about which forms and materials you must submit for your program.**

4. **Submit your application.** Go to <http://www.grants.gov/web/grants/applicants/apply-for-grants.html> for more information on this process.

5. **Confirm with your EPA POC** that EPA has received your application package. *If for ANY reason you cannot submit your application by the deadline specified, contact your EPA POC immediately.*

For FAQs, User Guides, Checklists, Training and Technical Support, visit the Grants.gov Applicant Resource page at <http://www.grants.gov/web/grants/applicants/applicant-resources.html>

Technical Questions or Issues? Please call (1-800-518-4726) or email (support@grants.gov) the Grants.gov Contact Center (<http://www.grants.gov/web/grants/about/contact-us.html>). Help is available 24 hours a day, 7 days a week.

Grants.gov Instructions Guide

Continuing Environmental Programs (CEPs)
(EPA Mandatory Grant Programs)

ATTACHMENT

ATTACHMENT

Region 9 Guidance Information for Applicants <http://www.epa.gov/region9/funding/information.html>

- Omni-Circular under 2 CFR 200 & EPA Regulations under 2 CFR 1500:

EPA has officially adopted the OMB Omni-Circular effective **December 26, 2014**. Specifically, in a [joint interim final rule](#) published in the Federal Register on December 19, 2014 (see 79 Fed. Reg. 76050-76063), EPA adopted the Omni-Circular. This includes adoption of Omni-Circular requirements located at [2 CFR 200](#) along with EPA-specific provisions mandated by statute, regulation or agency policy now codified under [2 CFR 1500](#). OMB's website at <https://cfo.gov/cofar/> is the location for resources regarding the Omni-Circular, including [OMB's Frequently Asked Questions \(FAQ\)](#).

Federal Register: <http://www.gpo.gov/fdsys/pkg/FR-2014-12-19/pdf/2014-28697.pdf> 2 CFR 200:

<http://www.ecfr.gov/cgi-bin/text-idx?SID=4467ef7edf6418328185fed45270f1f&node=pt2.1.200&rgn=div5> 2 CFR 1500:

<http://www.ecfr.gov/cgi-bin/text-idx?SID=ac772c78043ca73b46f5d168dd3f038f&tpl=/ecfrbrowse/Title02/2chapterXV.tpl>

OMB's FAQ: <https://cfo.gov/wp-content/uploads/2014/11/2014-11-26-Frequently-Asked-Questions.pdf>

- Interim Financial Assistance Conflict of Interest Policy:

In accordance with the Omni-Circular's Conflict of Interest disclosure requirements under [2 CFR 200.112](#), EPA issued the [Interim Financial Assistance Conflict of Interest Policy](#). Requirements include applicants providing a prominently titled conflict of interest disclosure statement attached to the SF 424, if applicable. This policy applies to all individuals and nonfederal entities requesting and receiving EPA financial assistance on or after **December 26, 2014**. (Note: A standard EPA form is not currently available for the COI disclosure requirement since this is an interim policy.)

Interim Policy:

http://www.epa.gov/ogd/epa_interim_financial_assistance_coi_policy.htm

- Submission of Grant Applications via Grants.gov:

Effective **February 17, 2015**, EPA grant applicants must use [Grants.gov](#) to submit their applications. It is highly recommended that EPA applicants complete their registration on Grants.gov now, since the entire process can take up to 30 days to complete. Information located at [Submitting an Application to Grants.gov Apply](#) and [Applicant Resources](#) for Grants.gov will help you get started. Applicants are also encouraged to view a previously recorded [EPA Information Webinar](#) session/ presentation regarding Grants.gov or register for an available session.

Grants.gov support/assistance is available 24 hours a day 7 days a week at 1-800-518-4726 or Support@grants.gov. For International callers, call (606) 545-5035 to speak with a Grants.gov Contact Center representative. Also, the [Region 9 Application Checklist](#) will help ensure your EPA application submitted through Grants.gov is complete.

Guide on Submitting an Application to Grants.gov:

<http://www.epa.gov/ogd/guide/submitting.htm>

Grants.gov Application Resources:

<http://www.grants.gov/web/grants/applicants/applicant-resources.html> EPA Information

Sessions/Webinars:

http://www.epa.gov/ogd/training/grants_gov_information_sessions_for_applicants.htm

Region 9 Application Checklist: <http://www.epa.gov/region09/funding/pdfs/grantsgov/r9-application-checklist-grantsgov.pdf>

- Bundling of Grant Application Certifications (for States & Tribes):

State and Tribal applicants are highly encouraged to submit annually a single set ("bundling") of application certification forms with a cover letter. The letter must indicate the applicable timeframe (usually a federal or state fiscal year) of the certifications for all EPA grant applications received by Region 9 Grants Management Office (GMO) within that period. GMO accepts Adobe format (.pdf) of the letter with applicable signed certification(s) through the electronic mail box:

grantsregion9@epa.gov. Please note that updated forms must be submitted when changes to the responses are required. The following certifications can be bundled:

- ❖ [Standard Form \(SF\) 424B, Assurances for Non-Construction Programs](#)
- ❖ [EPA Form 6600-06, Certification Regarding Lobbying, Certification for Contracts, Grants, Loans and Cooperative Agreements](#) ❖ [EPA Form 4700-4, Preaward Compliance Review Report for All Applicants Requesting EPA Financial Assistance](#)

Applicants are highly encouraged to use bundling of their certifications if multiple EPA applications are submitted annually. Bundling can be especially helpful as EPA is transitioning to Grants.gov. Please contact your EPA Grants Specialist for questions regarding bundling.

EPA Application Forms:

<http://www.epa.gov/ogd/forms/forms.htm>

- Changes to the Minority & Women Business Enterprise (M/WBE) Utilization Reporting Requirement:

The EPA issued a revised class deviation from [40 CFR 33.502](#) on **December 4, 2014**, which supersedes the M/WBE reporting requirement specified in the recipient's Notice of Award. The EPA financial assistance recipients are now required to report annually when certain conditions are met to reach a threshold of \$150,000. Please review the current information from [EPA's Small Business Programs](#).

40 CFR 33:

[http://www.ecfr.gov/cgi-](http://www.ecfr.gov/cgi-bin/textidx?SID=04eada1fb1292e21ecf2434ad7bf3781&tpl=/ecfrbrowse/Title40/40cfr33_main_02.tp)

[bin/textidx?SID=04eada1fb1292e21ecf2434ad7bf3781&tpl=/ecfrbrowse/Title40/40cfr33_main_02.tp](http://www.ecfr.gov/cgi-bin/textidx?SID=04eada1fb1292e21ecf2434ad7bf3781&tpl=/ecfrbrowse/Title40/40cfr33_main_02.tp)

! EPA's Small Business Programs:

http://www.epa.gov/osbp/dbe_reporting.htm

- Grants Management Training Materials for Tribal Organizations:

The EPA's Office of Grants and Debarment (OGD) worked with the national Partnership for Environmental Technology Education (NPETE) to develop [training materials for Tribal](#)

organizations. While OGD is no longer able to offer in-person trainings, the training materials, including the training manuals and webinar recordings, are available for download.

Recipient Training: http://www.epa.gov/ogd/training/recipient_train.htm

